

Case Docket No. ASMINT.049AUS

Date: February 10, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Granneman

Appl. No.

10/728,126

Filed

December 3, 2003

For

APPARATUS AND METHOD

FOR THE ATOMIC LAYER

DEPOSITION ON SUBSTRATES

Examiner

Unknown

Group Art Unit:

Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

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Adeel S. Akhtar, Reg. No. 41,3994

#### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing Thirty-Seven (37) references, of which 11 are enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Adeel S. Akhtar

Registration No. 41,394 Áttorney of Record

Customer No. 20,995

(415)954-4114

FEB 1.2 2004
INFORM

## INFORMATION DISCLOSURE STATEMENT

**Applicant** 

Granneman

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APPARATUS AND METHOD FOR THE

ATOMIC LAYER DEPOSITION OF

**SUBSTRATES** 

Examiner

Unknown

Group Art Unit

Unknown

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 37 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

Fabruary 10,2004

By:

Adeel S. Akhtar

Registration No. 41,394

Attorney of Record

Customer No. 20,995

(415) 954-4114

<b>FORM</b>	PTO-	1449
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY.	DOC	KET	NO.
ASM	INT.04	49AI	US

APPLICATION NO. 10/728,126

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT Granneman

USE SEVERAL SHEETS IF NECESSARY)

FILING DATE December 3, 2003 GROUP Unknown

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	3,854,443	12/17/74	Baerg			
	2	5,500,256	3/19/96	Watabe			
-	3	5,542,559	8/6/96	Kawakami et al.			
	4	5,551,982	9/3/96	Anderson et al.			
	5	5,595,606	1/21/97	Fujikawa et al.			
	6	5,624,498	4/29/97	Lee et al.			
	7	5,674,320	10/7/97	Kordina et al.			
	8	5,711,811	1/27/98	Suntola et al.			
	9	5,811,022	9/22/98	Savas et al.			
	10	5,916,365	6/29/99	Sherman			
	11	5,983,906	11/16/99	Zhao et al.			
	12	6,042,652	3/28/00	Hyun et al.			
	13	6,097,005	8/1/00	Akimoto			
	14	6,111,225	8/29/00	Ohkase et al.			
	15	6,148,761	11/21/00	Majewski et al.			
	16	6,183,565 B1	2/6/01	Granneman et al.			
	17	6,305,314 B1	10/23/01	Sneh et al.			
	18	6,364,949 B1	4/2/02	Or et al.			
	19	6,368,987 B1	4/9/02	Kopacz et al.			
	20	6,399,922 B2	6/4/02	Okase et al.			
···	21	6,416,822 B1	7/9/02	Chiang et al.			
	22	6,428,859 B1	8/6/02	Chiang et al.			
	23	6,446,573 B2	9/10/02	Hirayama et al.	1		
	24	US 2002/0066411 A1	6/6/02	Chiang et al.			
<del></del>	25	US 2002/0068458 A1	6/6/02	Chiang et al.	<del>                                     </del>		
	26	US 2002/0197402 A1	12/26/02	Chiang et al.			

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DATE CONSIDERED

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. ASMINT.049AUS APPLICATION NO. 10/728,126

BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

NFORMATION DISCLOSURE STATEMENT
BY APPLICANT

APPLICANT Granneman

FILING DATE

December 3, 2003

GROUP Unknown

FOREIGN PATENT DOCUMENTS CLASS SUBCLASS TRANSLATION DOCUMENT NUMBER DATE COUNTRY **EXAMINER** INITIAL YES NO 2/5/90 **Abstract** 27 JP402034915A Japan 28 3/12/92 **Abstract** JP404078130A Japan Abstract 29 JP361294812A 12/25/86 Japan 1/29/87 Abstract 30 JP362021237A Japan 31 JP 61263118A 11/21/86 Japan Abstract 10/26/00 PCT N/A 32 WO 00/63957 33 PCT N/A WO 00/79019 A1 12/28/00 12/28/00 PCT N/A 34 WO 00/79576 A1 35 3/15/01 **PCT** N/A WO 01/17692 A1 36 0015390B1 9/25/85 **EPO** N/A N/A 37 0550058A2 7/7/93 **EPO** 

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EXAMINER

DATE CONSIDERED